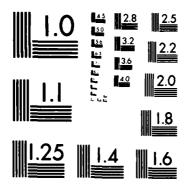


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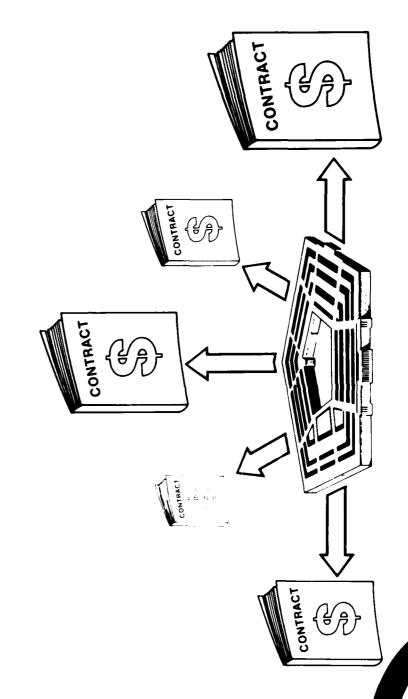


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PRIME CONTRACT AWARDS, SIZE DISTRIBUTION **Department of Defense**

Fiscal Year 1981





Department of Defense

PRIME CONTRACT AWARDS, SIZE DISTRIBUTION

Fiscal Year 1981

Issued Annually by

Department of Defense
Washington Headquarters Services
Directorate for Information
Operations and Reports

January 1982

FOREWORD

Prime Contract Awards, Size Distribution is published annually by the Office of the Secretary of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (WHS/DIOR).

This report provides information on Department of Defense contract awards by size and:

- Military Department;
 Type of Contract;
 Competitive Status; and
 Kind of Action.

Requests for additional information should be addressed to the Director, Washington Headquarters Services, Room 3E843, The Pentagon, Washington, D.C.

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٢		Table 1. Department of Defense Prime Contract Awards by Size and by Military Department: FY 1981	Table 2. Department of Defense Prime Contract Awards by Size and by Military Department (Cumulative): FY 1981	Table 3. Department of Defense Prime Contract Awards by Size and by Type of Contract (Cumulative): FY 1981	Table 4. Department of Defense Prime Contract Awards by Size and Competitive Status (Cumulative): FY 1981	Table 5. Department of Defense Prime Contract Awards by Size and Kind of Action (Cumulative): FY 1981
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This report provides data on prime contract actions (PCA's) over \$10,000 awarded by the Department of Defense (DOD) in Fiscal Year (FY) 1981. For reporting purposes, contracts have been distributed by dollar value into 11 different size categories. The tables provide information on the number of total actions, their net value, and their percent distribution, by size and according to a variety of categories, including awarding agency, type of contract involved, type of contract action taken, and competitive status. Table 1 presents data by individual size category (e.g., \$10,000 to \$24,000; \$25,000 to \$49,000), while Tables 2 through 5 present data in cumulative categories (e.g., \$10,000 or more).

The information in Prime Contract Awards, Size Distribution assists 000 management in projecting the workload that will be required by various proposed projects. For example, using data in this publicator, bob officials could determine that a proposal to review all contract actions of \$500,000 or more in FY 1981 would require examining approximately 17,700 transactions. These data can also be used to identify trends in DOD procurement, e.g., to identify which one of the various types of contracts was most frequently awarded (in terms of number of contract actions) during FY 1981.

NOTES ON COVERAGE

Contract actions of \$10,000 or less are not included in this report. Information on the types of contracts or kind of action is not compiled on these purchases. Intragovernmental actions of \$10,000 or more (those actions placed by DOD with other Federal agencies) are also excluded from this report,

as are foreign military sales (FMS) actions (actions placed by DOD in support of foreign governments).

Beginning with FY 1980, all tables include data for Civil Functions of the Army Corps of Engineers. Civil Functions encompass planning, programming, designing, constructing, and operating Federal water resource projects for navigation, flood control, hydroelectric power production, water supply, and other related activities.

The data here represent new definitive awards as well as other types of transactions, including initial letter contracts, definitization of letter contracts, orders under contracts, funding actions, engineering changes, and terminations. Terminations and the downward adjustments of contracts are included in the award category to which their dollar amount corresponds. Both types are considered positive entries when calculating the total number of contract actions but negative entries when calculating total net value.

Each transaction is rounded to the nearest thousand before being assigned to an award category, e.g., a transaction of \$24,499 is rounded down to \$24,000, while a transaction of \$24,500 is rounded up to \$25,000. Due to this rounding process, totals may not add up.

HIGHLIGHTS OF FY 1981 DATA

As indicated above, data in this report exclude all actions of \$10,000 or less as well as those intragovernmental and FMS actions of more than \$10,000. All 900 prime contract awards (over and under \$10,000) in FY 1981 totaled \$105,223 million.

This is an increase of \$21,537 or 26 percent over FY 1980. These FY 1981 awards comprised a total of 12,745,953 actions, which is an increase of 674,148 actions or 6 percent over the FY 1980 figure.

As shown in the following table, this report covers 84 percent of the procurement dollars and 3 percent of the actions awarded in FY 1981.

TOTAL IOTAL Included in Report Excluded from Report All Actions Less Than \$10,000 I2,380,331 Over \$10,000 Over \$10,000 I2,380,331 Over \$10,000 I2,380,340 Intragovernmental/FMS Actions Over \$10,000 I2,380,340 Intragovernmental/FMS Actions Int		ACTI(SNC	NET V	ALUE
an \$10,000 12,380,331 97 \$105,223 326,358 3 88,363 MS Actions 39,264 * 9,026		NUMBER	PERCENT	MILLIONS	PERCENT
an \$10,000 12,380,331 97 7,834 MS Actions 39,264 * 9,026	TOTAL	12,745,953	100	\$105,223	100
an \$10,000 12,380,331 97 MS Actions 39,264 *	Included in Report	326,358	ო	88,363	84
.clons 39,264	an	12,380,331	76	7,834	7
	intragovernmental/rms Actions Over \$10,000	39,264	*	9,026	6

* Percentage is less than 0.5 percent

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Tables 1 and 2 provide data on PCA's by size range (individual ranges in Table 1, cumulative ranges in Table 2) according to awarding department. Contract actions of from \$10,000 to \$49,000 accounted for 64.2 percent of the total number of actions but only 4.9 percent of the total dollars. Conversely,

actions of \$1 million or more represented 75.2 percent of the total dollars but only 3.0 percent of the actions. Actions of \$10 million or more accounted for 49.8 percent of the total dollars but only 0.4 percent of the actions. As shown in the following table, these data do not differ greatly from those for FY 1980.

	FY 1980	086	FY 1981	[86]
SIZE OF ACTION	Percent of Actions	Percent Percent of Actions of Dollars	Percent of Actions	Percent Percent of Actions of Dollars
0,000 to \$49,000	64.9	5.8	64.2	4.9
\$ 1 Million or More	2.8	71.6	3.0	75.2
O Million or Mere	0.3	45.3	0.4	49.8

As shown in Table 2, actions of \$1 million or more accounted for 72.6 percent of the total Army procurement dollars, as compared with 75.7 percent for the Navy, 74.4 percent for the Air Force, 80.6 percent for the Defense Logistics Agency (DLA), and 63.2 percent for Civil Functions.

Prime Contract Awards by Type of Contract

As discussed above, data presented in this report have a variety of management uses. Data on the distribution of contracts by type of contract (e.g., firm-fixed price incentive, or costplus-fixed-fee) are also used for analyzing DOD procurement patterns. Table 3 provides data on the net value and percent distribution of seven different types of contract actions. As shown in

this table, actions of \$1 million or more accounted for 94.8 percent of the cost-plus incentive fee dollars, 94.3 percent of the dollars awarded on fixed-price incentive contracts, 92.6 percent of the cost-plus award fee dollars, and from 55.7 to 70.8 percent of the dollars for the remaining types of contracts.

As shown below, firm fixed-price/fixed-price escalation contracts accounted for the highest percentage of actions, both in terms of the number of actions and total net value. Cost-plus-fixed-fee contracts represented the second largest category in terms of number of actions, while fixed-price incentive contracts accounted for the second largest grouping of contracts accounted for the second largest grouping of contracts according to total net

	ACT	ACTIONS	NET	NET VALUE
TYPE OF CONTRACT	NUMBER	PERCENT	MILLIONS	PERCENT
TOTAL (over \$10,000)	326,358	100.0	\$88,363	100.0
Firm Fixed/Fixed Price Escalation	277,690	85.1	55,359	62.6
Cost-Plus-Fixed Fee	20,580	6.3	7,934	0.6
Other Cost Tune	12,616	3.9	2,183	2.5
Fired_Dwice Incontive	6,869	2.1	11,754	13.3
nived Daice Incention	4.542	1.4	1,504	1.7
rived-rilice redecementation	2,398	0.7	6,674	9.7
Cost-Plus Award Fee	1,663	0.5	2,954	3,3

Prime Contract Awards by Competitive Status

Table 4 displays information on the net value and percent distribution of PCA's according to competitive status. In FY 1980, awards to educational and nonprofit institutions and awards for utilities where the price negotiated monopolies for utilities where the price negotiated is based on prices set by law or egulation) were excluded from the competitive base presented in Table 4. In FY 1981 awards made pursuant to Section 8(a) of the Small Business Act [15 USC 637(a)] and awards for brand name items for commissary resale were also excluded.

Negotiated non-competitive contract actions accounted for 54 percent of all actions and 68 percent of the dollars in the competitive base. Negotiated price-competitive actions made up 34 percent of all actions and 24 percent of the dollars in the competitive base. Formally advertised contract actions accounted for the remaining 12 percent of the actions and 8 percent of the dollars. (These percentages were all computed based on the Total Competitive Base.)

As shown in Table 4, actions of \$1 million or more made up 80.5 percent of the dollars awarded for negotiated non-competitive contract actions, 69.8 percent of the negotiated price-competitive dollars, and 66.4 percent of the formally advertised contract dollars.

Prime Contract Awards by Kind of Action

PCA's are arrayed according to kind of procurement action (initial letter contract, definitive contract, change order, etc.) in Table 5. As shown in this table, actions of \$1 million or more accounted for 96.6 percent of the dollars awarded for definitive contracts which superseded letter contracts, 96.4 percent of the initial letter contract dollars, and from 48.1 to 87.1 percent of the dollars for the remaining kinds of procurement actions.

Contract actions other than modifications accounted for 77 percent of all actions and 62 percent of the dollars. Modifications to contracts accounted for 23 percent of the actions and 38 percent of the dollars. The distribution within these two categories is shown in the table on the next page.

	ACT	ACTIONS	NET VALUE	ALUE
TYPE OF ACTION	NUMBER	PERCENT	MILLIONS	PERCENT
TOTAL	326,358	100	\$88,363	100
Actions Other Than Modifications	252,092	77	55,226	62
Order Under Contract Definitive Contract	128,177 122,917	39 38	13,986	16 42
Initial Letter Contract	277	*	2,492	က
Definitive Contract Super- seding Letter Contract	421	*	1,470	
Modifications	74,266	23	33,137	38
Funding Action Additional Work	35,651 21,887	111	14,684 16,213	17 18
Change Orders Terminations	14,608 2,120	4 -1	2,671 430-	m∗ [†]

^{*} Percentage is less than 0.5 percent.

Table 1. Department of Defense Prime Contract Awards by Size and by Military Department: FY 1981

	TOTAL	ABMV	NAM.	1000	6	
SIZE (IN DOLLARS)	: '	ē	I AWA!	AIR FURCE	DL Af	CIVIL FUNCTIONS
	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT
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AVERAGE SIZE (DOLLARS)	\$ 270,754	\$ 247,718	\$ 278,924	266,	291,	248,
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Includes Other Defense Agency awards not included elsewhere in this table. Pefense Logistics Agency.

Table 2. Department of Defense Prime Contract Awards by Size and by Military Department (Cumulative): FY 1981

SIZE (IN DOLLABS)	TOTAL	ARMY	NAVY	AIR FORCE	DLA ²	CIVIL FUNCTIONS
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¹ Includes Other Defense Agency awards not included elsewhere in this table. ² Defense Logistics Agency.

Table 3. Department of Defense Prime Contract Awards by Size and by Type of Contract (Cumulative): FY 1981

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0RE 325,358 88,36 0LLARS) \$ 270,75	150,213		
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OLLARS) \$ 270,75		•	
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Table 3. Department of Defense Prime Contract Awards by Size and by Type of Contract (Cumulative): FY 1981

(Continued)

(Amounts in Millions)

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Table 4. Department of Defense Prime Contract Awards by Size and Competitive Status (Cumulative): FY 1981

SIZE (IN DOLLARS)	TOTAL	COMPETITIVE	TOTAL	FORMALLY	NEGOTIATED	IATED
		EXCLUSION	COMPETITIVE BASE	ADVERTISED	PRICE COMPETITIVE	NON-COMPETITIVE
	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT
\$13,003,003 OR MORE	1,203 \$44,002	71 \$ 1,505	1,137 \$42,498	79 € 1.420	١.	1
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100,000 OR MORE			57.821 76.616			
50,000 08 MORE					- •	
25,063 OR MORE					Ì	
0 8					- •	_
)	•	•	0	97,061 710076	152,654 56,560
AVERAGE SIZE (DOLLARS)	\$ 276,754	\$ 112,485	\$ 297,071	\$ 205,633	\$ 210,033	\$ 370,558
CUMULATIVE PERCENT						
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50,000 OR MORE						
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Table 5. Department of Defense Prime Contract Awards by Size and by Kind of Action (Cumulative): FY 1981

SIZE (IN DOLLARS)	TOTAL	INITIAL LETTER CONTRACT	DEFINITIVE CONTR SUPERSEDING LTR CONTR1	DEFINITIVE	ORDER UNDER CONTRACT
	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT
1					
MADE AND 000, 000 CI	1,203 \$44,002	69 \$ 1,845	34 \$ 1,	428 \$21,358	105 \$ 2.511
	5	a i	52 1,	83	i m
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ě	38.223 77.240	v a			6,600 9,084
ğ	285 80	454 0 438	000	N (o i
ğ	344 84	ì۸	400	9 (0
25,000 OR MORE		ī۸	000	9	
	358 88	577 2 492	421 1.470	120 917 37 378	<u> </u>
	•	ī		,	995 51 //1'07
AVERAGE SIZE (DOLLARS)	\$ 270,754	\$ 4,319,638	\$ 3,490,714	\$ 303,279	\$ 109,113
CUMULATIVE PERCENT					
\$10,000,000 OR MORE	7	,	;	,	
ě	ים דע		7	.3	. 1.8
	9 6		9	. 6	2 26.
8	7 5	200	4 6	72	7 39.
č	06	. 60	200	0.7	48
8	8	5 5	9 6		57.
200,000 OR MORE	11.7 87.4	67.8 99.4	000		9
000 GR	9	66 4	9		9,6
õ	95	66		- c	8 6
300 GR	97	100	500	2 C	- A6.
10,000 OR MORE	100	100.	100.001	100.001	100.0

¹ Definitive Contracts Superseding Letter Contracts

Table 5. Department of Defense Prime Contract Awards by Size and by Kind of Action (Cumulative): FY 1981

(Continued)

(Amounts in Millions)

			MODIFICATIONS		
SIZE (IN DOLLARS)	SUB-TOTAL	ADDITIONAL WORK	FUNDING ACTION	CH4NGE ORDER	TERMINATION
	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT	NUMBER AMOUNT
6 6	567 \$17,127		304 \$ 5,748	•	4 \$ 213-
	9	408 12,	62		
2 6	9 1	811 13,		-	
¥ (4,687 27,146	1,389	2,781 11,680		
SOC, DOG DR MORE	365	2,246 14,	27	_	
5 6	28	3,240 15,	0	454 2,	
E (99	4,235 15,	22	929 2,	
E O	4	6,468 15,	72	2 52	
000 OR		10,951 15,	69	988 2	
000 OR	52,328 32,906	15,685 16,	9	077 2.	101
10,000 OR MORE		21,887	5	14,608 2,671	2,120 430-
				•	
AVERAGE SIZE (DÖLLARS)	\$ 446,189	\$ 740,744	\$ 411,869	\$ 182,837	\$ 203,038-
CUMULATIVE PERCENT	·				
\$10,000,000 OR MORE		_	o c	,	c
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2,000,000 OR MORE		· r-	4) i	חַ כ
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Č	31.2 95.8	29.6 96.4	37.2 96.5	21.0 86.8	
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10,000 OR MORE	_		.00 100.	0	100.0 100.0

